



Both Title IV and New York State financial aid programs allow a student to apply for and receive an appeal. NYS TAP/PTT allow for only one appeal as an undergraduate student. SUNY Ulster allows for up to two undergraduate Title IV appeals. To receive a second Title IV appeal, a student must have two different reasons for the appeals (i.e. student was injured in an auto accident in the Fall; student had pneumonia in the Spring).

Appeals are granted due to **unusual, exceptional circumstances, above and beyond the control of the student that occurred during the semester that caused the student to lose their eligibility**. Unusual, exceptional circumstances, above and beyond the control of the student that occurred before the semester began or after the semester ended will not be considered for an appeal request. The student is required to complete the Appeal Request Form and submit supporting documentation with this form to the Financial Aid Office. The Appeal Review Committee reviews all completed appeal requests. The student **must** provide any missing information/documentation before a decision can be made. The student must be enrolled and attending class to have an appeal approved, and a FAFSA completed if requesting a Title IV appeal.

**Some Examples** of unusual and exceptional circumstances can include, but are not limited to:

- A member of the student's immediate family has died (mother, father, sister, brother, spouse, child, aunt, uncle, cousin, grandparent).  
DOCUMENTATION: death certificate
- A member of the student's immediate family required intensive care from the student due to an illness or disability.  
DOCUMENTATION: doctor, hospital, emergency room bill with diagnosis or note from medical provider
- The student became ill during the semester and was unable to complete the semester successfully.  
DOCUMENTATION: doctor, hospital, emergency room bill with diagnosis or note from medical provider
- The student had an auto accident that incapacitated the vehicle and the student had no other means of transportation.  
DOCUMENTATION: auto accident report, insurance claim, repair bills
- The student was injured in an auto accident.  
DOCUMENTATION: auto accident report, insurance claim, repair bills, emergency room bill
- The student/spouse/parents experienced a loss of family income that required that the student work, work overtime, or work additional hours  
DOCUMENTATION: letter from employer, unemployment insurance compensation statement, worker compensation statement, attorney statement
- The student has completed 6 full-time semesters or the part-time equivalent and has maxed out their Federal financial aid eligibility, but will be graduating within one academic year (not applicable for a NYS aid appeal)  
DOCUMENTATION: Statement from advisor confirming a transcript audit was done and the student is on track to graduate within one academic year (statement must include anticipated month/year of graduation)
- The student changed program of study as a result of being out of school for more than two years and needed retraining, inability to find employment with prior credits or degree, loss of employment due to phase out of a particular career/job (not an applicable reason for a NYS aid appeal)  
DOCUMENTATION: letter from SNAC, Career Services, Transfer Office, Job Services

STUDENTS WHO ARE NOT ELIGIBLE FOR APPEALS:

- Students who have already been granted two Federal financial aid appeals and/or one NYS financial aid appeal;
- Students who have already achieved two degrees (two associate degrees, one associate/one bachelor degree, two bachelor degrees, etc.);
- Students who have not completed the appeal request process and/or have not submitted supporting documentation;
- Students who request a second Federal appeal with the same reason used for the first appeal;
- Students who are taking courses that do not apply toward the students' declared program of study;
- Students who change program of study while on an appeal;
- Students who provide fraudulent documentation;
- Students who are incarcerated;
- Students who do not meet eligibility criteria.